

1. Company Details	Company Name	
	Date of Incorporation	
	Country of Incorporation	
	Activities involved in	
	Registered office Address	
	Website Address	
	Contact Number	
	Contact Person	
	Position of Contact Person within Company	
	Name of the Compliance/Money Laundering Reporting Officer ("MLRO") of the Company	
	Contact Details of Compliance/MLRO (Phone/Fax Number & Email Address)	
2. Regulator's Details (if any)	Name	
	Registered office Address	
	Website Address	
	Number/reference of Licence/registration/ authorization issued to Company	
	Type of licence/registration/authorisation issued to Company	
	Contact Number	
	Contact Person within the Regulator	
3. Is Company listed on any exchange?	<p>YES/NO If yes, please provide details.</p>	
4. Details of any restriction(s) to licence/registration/authorization issued to Company by its Regulator		
5. Has the Company or any of its directors:	<p>(a) been the subject of any punitive action, (b) been refused a licence or equivalent authorisation to carry on a business activity, (c) have had a licence or equivalent authorisation to carry on a business activity revoked, (d) been censured, disciplined or publicly criticized or adversely commented upon, (e) is, or has been the subject of any investigation by any Court of Law, Regulatory Authority, officially appointed enquiry or by any professional body, or other public institutions to which you belong or have belonged ?</p> <p>YES/NO If yes, please provide details.</p>	

6.	<p>Has the Company or any of its directors ever been adjudicated bankrupt by any Court or entered into a compromise or arrangement with creditors?</p> <p>YES/NO If yes, please provide details.</p>
7.	<p>Is the Company subject to any laws/ regulations designed to prevent money laundering and financing of terrorist activities?</p> <p>YES/NO</p>
8.	<p>Details of internal procedures and controls implemented to combat money laundering and financing of terrorist activities</p>
9.	<p>Will the Company be trading in its own name?</p> <p>YES/NO</p>
10.	<p>Will the Company be trading in the name/ on behalf of third parties?</p> <p>YES/NO If yes, please provide details.</p>
11.	<p>Is the Company or its clients subject to any Foreign Exchange Restrictions?</p> <p>YES/NO If yes, please provide details.</p>
12.	<p>I certify that the above information is complete and correct to the best of my knowledge. I undertake to advise the MCB Stockbrokers Ltd of any material change that occurs at any time after this form is submitted and until such time as I am no longer connected to the Company.</p> <p>By signing below, I authorise the MCB Stockbrokers Ltd to contact any person named herein to counter-verify the contents of this form.</p> <p>Name of Signatory: _____</p> <p>Signature: _____</p> <p>Date: _____</p>